

RESOLUTION FOR EXCLUSION OF CERTAIN OFFICERS/DIRECTORS

WHEREAS, Virginia Polytechnic Institute and State University (Virginia Tech) was determined by the U.S. Department of Defense (DoD) to be a facility authorized to be eligible for access to classified information or award of classified contracts in 1960, and years following, with the most recent authorization in May 2022; and

WHEREAS, in accordance with the National Industry Security Program Operating Manual (NISPOM), Cognizant Security Agencies (CSAs) require that certain principal officers, directors, partners, regents, or trustees, and those occupying similar positions at institutions of higher education meet the personnel security clearance requirements established for the level of the institution's facility security clearance or be formally excluded; and

WHEREAS, the NISPOM permits the exclusion from the personnel clearance requirements of said principal officers et al. on the basis that these cited individuals shall not require, shall not have, and can be effectively excluded from access to all classified information disclosed to Virginia Tech, and do not occupy positions that would enable them to affect adversely corporate policies or practices in the performance of classified contracts, as determined by a CSA;

NOW, THEREFORE, BE IT DECLARED that the Virginia Tech Board of Visitors hereby formally appoints a managerial group with the authority and responsibility for the negotiation, execution, and administration of classified contracts (Key Management Personnel), consisting of the following principal officers within Virginia Tech: President; University Legal Counsel; Chief Contracting Officer; Senior Vice President and Chief Research and Innovation Officer; Senior Associate Vice President for Research and Innovation; Associate Vice President for Research and Innovation, Sponsored Program; Facility Security Officer; and Insider Threat Program Senior Official (specified by name in Attachment A); and

BE IT RESOLVED that the President, and the said managerial group, at the present time do possess the required security clearance, with the exception of the Associate Vice President for Research and Innovation, Sponsored Programs who is in the process of obtaining the required security clearance and is hereby excluded pursuant to this resolution until the time eligibility for access to classified information is granted by a cognizant security agency; and

BE IT RESOLVED FURTHER that in the future, when a CSA determines that additional Virginia Tech officials must be added to said managerial group and be granted personnel clearances or excluded from classified access pursuant to the NISPOM, such requirements shall be made and approved by the Key Management Personnel, and not the Board of Visitors, unless approval by the Board of Visitors is formally required by a CSA; and

LASTLY, BE IT RESOLVED, that the appended list of all members of the Board of Visitors and certain University Principal Officers (specified by name in Attachment B)

shall not require, shall not have, and can be effectively excluded from access to all classified information in the possession of Virginia Tech, and do not occupy a position that would enable them to affect adversely Virginia Tech policies or practices in the performance of classified contracts. A copy of this resolution shall be provided to CSAs as required by the NISPOM.

RECOMMENDATION

That this resolution be adopted.

August 28, 2024

ATTACHMENT A:

Key Management Personnel who must be granted personnel clearances or excluded from classified access pursuant to the NISPOM per Board of Visitors Resolution, August 28, 2024

President	Timothy D. Sands, Ph.D.
University Legal Counsel	Kay K. Heidbreder, Esq.
Chief Contracting Officer	Timothy D. Sands, Ph.D.
Sr. VP and Chief Research & Innovation Officer	Daniel Sui, Ph.D.
Sr. AVP, Research & Innovation	James R. Heflin, Ph.D.
AVP, Sponsored Programs	Linda Feuster Duffy*
Facility Security Officer and Insider Threat Program Senior Official	John J. Talerico, III

*an application has been made for Ms. Duffy's clearance at the required level but has not been granted at the time of this report.

ATTACHMENT B:

Members of the Virginia Tech Board of Visitors to be excluded per Board of Visitors Resolution, August 28, 2024.

Edward H. Baine (Rector)
David L. Calhoun (Vice Rector)
Sandy Davis
Nancy Dye
William Holtzman
Donald Horsley (ex-officio)
Anna L. James
Starlette Johnson
Letitia A. Long
Ryan McCarthy
Jim Miller
J. Pearson
John Rocovich
Jeanne Stosser

List of Virginia Tech Principal Officers to be excluded per Board of Visitors Resolution, August 28, 2024

Executive Vice President and Provost
Executive Vice President and Chief Operating Officer

Dr. Cyril R. Clarke
Ms. Amy S. Sebring